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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/823,418
	Filing Date	April 12, 2004
	First Named Inventor	Vaughan
	Art Unit	3732
	Examiner Name	Unknown
	Attorney Docket Number	85689.19
Total Number of Pages in This Submission		5

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): SB08A-B (2 pgs), postcard 2 References
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Huntor & Williams LLP	
Signature		
Printed name	Thomas E. Anderson	
Date	8/18/05	Reg. No. 37,063

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Patent Application Serial No. 10/823,418
Attorney Docket No.: 85689.19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul A. Vaughan

Serial No.: 10/823,418

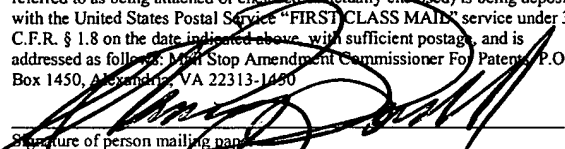
Filed: April 12, 2004

For: Vertebral Stabilization Assembly and Method

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: Group Art Unit: 3732
:
: Examiner: Unknown
:
:

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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Sir:

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DATE OF DEPOSIT:	8/18/05
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In accordance with the duty under 37 C.F.R. § 1.56 of each individual associated with the filing and prosecution of the above-identified patent application (hereinafter, "associated individuals") to disclose all information known to that individual to be material to patentability, Applicant(s) hereby submits attached Form SB08 listing cited references. This submission is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609.

The cited references, while believed to be of some relevance, are not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. Applicant(s) hereby expressly reserves the right to swear behind the effective dates of any of the cited references. Applicant(s) further reserves the right to question the relevance, materiality, and/or prior art status of any of the cited references in whole, in part, or in combination, subsequent to the filing of this information disclosure statement. This information disclosure statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this information disclosure statement discloses only the best references of which the associated individuals are aware.

The Examiner is respectfully requested to consider each of the cited references, to indicate such consideration by initialing in the space provided next to each cited reference on the

enclosed Form SB08, to sign the initialed Form SB08, and to return a copy of the same with the next communication to the Applicant(s).

In accordance with 37 C.F.R. § 1.98(a), only copies of the cited references which are not U.S. patents or U.S. patent application publications are being submitted herewith. However, copies of the cited references which are U.S. patents or U.S. patent application publications will be submitted at the request of the Examiner. In considering these cited references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of these cited references. Any such markings were either present on the copies of these cited references when obtained by the associated individuals, or were made thereon during the study of these cited references by the associated individuals.

In accordance with 37 C.F.R. § 1.97(b), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits for the above-identified patent application. Accordingly, no statement or fee is required.

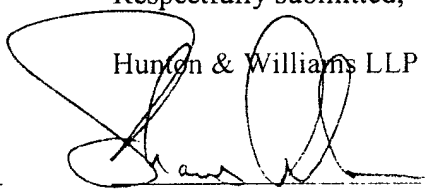
Please charge any shortage in fees due in connection with the filing of this communication and/or credit any excess fees to Deposit Account No. 23-3189, reference 85689.19.

Respectfully submitted,

Hunton & Williams LLP

Date: _____

8/18/05


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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/823,418
Filing Date	April 12, 2004
First Named Inventor	Vaughan
Art Unit	3732
Examiner Name	Unknown
Attorney Docket Number	85689.19

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	1	WO 03/028538 A2-A3	04/10/2003	Vaughan Med. Tech. Inc.		
	2	PCT/US2005/11742	04/04/2005	Vaughan Med. Tech. Inc.		

**Examiner
Signature**

Date	
Considered	

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STATEMENT BY APPLICANT**

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Application Number 10/823,418

Filing Date April 12, 2004

First Named Inventor Vaughan

Art Unit 3732

Examiner Name Unknown

Attorney Docket Number 85689.19

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	VAUGHAN, Paul A., Vertebral Stabilization Assembly and Method, US Serial No. 11/146,075, 6/7/2005.	
	4	VAUGHAN, Paul A., Vertebral Stabilization Assembly and Method, US Serial No. 11/086,834, March 22, 2005.	
	5	VAUGHAN, Paul A., Vertebral Stabilization Assembly and Method with Rigid & Semi-Rigid Members, US Serial No. 11/185,793, July 21, 2005.	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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